

## SMIME-BR Audit Attestation for D-Trust GmbH

**Reference: AA2025121001-SMIME-BR**

Essen, 2026-02-19

To whom it may concern,

This is to confirm that “TÜV NORD CERT GmbH” has audited the CAs of “D-Trust GmbH” without critical findings.

This present Audit Attestation Letter is registered under the unique identifier number “AA2025121001-SMIME-BR”, covers multiple Root-CAs and consists of 22 pages.

Kindly find here-below the details accordingly.

In case of any question, please contact:

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Business Entity IT  
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45307 Essen, Germany  
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With best regards,

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**Matthias Wiedenhorst**  
Lead Auditor

This attestation is based on the template version 3.4 as of 2025-07-08, that was approved for use by ACAB-c.

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**Director**  
Dipl.-Ing. Wolfgang Wielpütz  
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**Deutsche Bank AG, Essen**  
BIC (SWIFT-Code): DEUTDE33  
IBAN-Code: DE26 3607 0050 0607 8950 00

## General audit information

### Identification of the conformity assessment body (CAB) and assessment organization acting as ETSI auditor

- TÜV NORD CERT GmbH, Am TÜV 1, 45307 Essen, Germany, registered under HRB 9976, Amtsgericht Essen, Germany
- Accredited by DAkkS under registration D-ZE-12007-01-12<sup>1</sup> for the certification of trust services according to “DIN EN ISO/IEC 17065:2013” and “ETSI EN 319 403-1 V2.3.1 (2020-06)”.
- Insurance Carrier (BRG section 8.2):  
Allianz Global Corporate & Specialty SE
- Third-party affiliate audit firms involved in the audit:  
None.

### Identification and qualification of the audit team

- Number of team members: 1 Lead Auditor, 1 Auditor
- Academic qualifications of team members:  
All team members have formal academic qualifications or professional training or extensive experience indicating general capability to carry out audits based on the knowledge given below and at least four years full time practical workplace experience in information technology, of which at least two years have been in a role or function relating to relevant trust services, public key infrastructure, information security including risk assessment/management, network security and physical security.
- Additional competences of team members:  
All team members have knowledge of
  - 1) audit principles, practices and techniques in the field of CA/TSP audits gained in a training course of at least five days;
  - 2) the issues related to various areas of trust services, public key infrastructure, information security including risk assessment/management, network security and physical security;
  - 3) the applicable standards, publicly available specifications and regulatory requirements for CA/TSPs and other relevant publicly available specifications including standards for IT product evaluation; and
  - 4) the Conformity Assessment Body's processes.
 Furthermore, all team members have language skills appropriate for all organizational levels within the CA/TSP organization; note-taking, report-writing, presentation, and interviewing skills; and relevant personal attributes: objective, mature, discerning, analytical, persistent and realistic.
- Professional training of team members:  
See “Additional competences of team members” above. Apart from that are all team members trained to demonstrate adequate competence in:
  - a) knowledge of the CA/TSP standards and other relevant publicly available specifications;
  - b) understanding functioning of trust services and information security including network security issues;
  - c) understanding of risk assessment and risk management from the business perspective;
  - d) technical knowledge of the activity to be audited;
  - e) general knowledge of regulatory requirements relevant to TSPs; and

<sup>1</sup> <https://www.dakks.de/en/accredited-body.html?id=D-ZE-12007-01-12>

f) knowledge of security policies and controls.

- Types of professional experience and practical audit experience:  
The CAB ensures, that its personnel performing audits maintains competence on the basis of appropriate education, training or experience; that all relevant experience is current and prior to assuming responsibility for performing as an auditor, the candidate has gained experience in the entire process of CA/TSP auditing. This experience shall have been gained by participating under supervision of lead auditors in a minimum of four TSP audits for a total of at least 20 days, including documentation review, on-site audit and audit reporting.
- Additional qualification and experience Lead Auditor:  
On top of what is required for team members (see above), the Lead Auditor
  - a) has acted as auditor in at least three complete TSP audits;
  - b) has adequate knowledge and attributes to manage the audit process; and
  - c) has the competence to communicate effectively, both orally and in writing.
- Special Credentials, Designations, or Certifications:  
All members are qualified and registered assessors within the accredited CAB.
- Auditors code of conduct incl. independence statement:  
Code of Conduct as of Annex A, ETSI EN 319 403 or ETSI EN 319 403-1 respectively.

**Identification and qualification of the reviewer performing audit quality management**

- Number of Reviewers/Audit Quality Managers involved independent from the audit team: 1 Reviewer
- The reviewer fulfils the requirements as described for the Audit Team Members above and has acted as an auditor in at least three complete CA/TSP audits.

**Identification of the CA / Trust Service Provider (TSP):**

D-Trust GmbH, Kommandantenstraße 15, 10969 Berlin, Germany,  
registered under "HRB 74346" at AG Charlottenburg, Berlin, Germany

**Type of audit:**

- Point in time audit
- Period of time, after x month of CA operation
- Period of time, full audit

**Audit period covered for all policies:**

2024-10-08 to 2025-10-07

**Point in time date:**

none, as audit was a period of time audit

**Audit dates:**

2025-09-29 to 2025-10-02 (on-site)  
2025-10-06 to 2025-10-10 (on-site)  
2025-12-02 (on-site)

**Audit location:**

10969 Berlin

## Root 5: D-TRUST Root CA 3 2013

### Standards considered

#### European Standards:

- ETSI EN 319 411-1 V1.4.1 (2023-10)
- ETSI EN 319 401 V3.1.1 (2024-06)
- ETSI TS 119 411-6 V1.1.1 (2023-08)

#### CA Browser Forum Requirements:

- Baseline Requirements for the Issuance and Management of Publicly-Trusted Certificates, version 2.1.7
- Baseline Requirements for the Issuance and Management of Publicly-Trusted S/MIME Certificates, version 1.0.10
- Network and Certificate System Security Requirements, version 1.7

#### For the Trust Service Provider Conformity Assessment:

- ETSI EN 319 403-1 V2.3.1 (2020-06)
- ETSI TS 119 403-2 V1.3.1 (2023-03)

The audit was based on the following policy and practice statement documents of the CA / TSP:

- Certificate Policy of D-Trust GmbH

Version	Release Date	Effective from	Effective until
Version 5.4	2024-07-26	2024-07-26	2025-06-29
Version 5.5	2025-06-25	2025-06-30	2025-10-07

- D-TRUST Trust Service Practice Statement (TSPS)

Version	Release Date	Effective from	Effective until
Version 2.1	2024-07-26	2024-07-26	2024-10-14
Version 2.2	2024-10-11	2024-10-15	2024-12-03
Version 2.3	2024-12-04	2024-12-04	2024-12-17
Version 2.4	2024-12-17	2024-12-18	2025-06-29
Version 2.5	2025-06-25	2025-06-30	2025-10-07

- Certification Practice Statement of the D-TRUST CSM PKI

Version	Release Date	Effective from	Effective until
Version 4.3	2024-07-26	2024-07-26	2024-10-14
Version 4.4	2024-10-11	2024-10-15	2024-12-17
Version 4.5	2024-12-17	2024-12-18	2025-06-29

Version 4.6	2025-06-25	2025-06-30	2025-07-21
Version 4.7	2025-07-18	2025-07-22	2025-10-07

■ Certification Practice Statement of the D-TRUST Cloud PKI

Version	Release Date	Effective from	Effective until
Version 4.0	2024-05-23	2024-05-27	2024-11-07
Version 4.1	2024-11-01	2024-11-08	2025-11-23

■ Certification Practice Statement of the E.ON SE PKI

Version	Release Date	Effective from	Effective until
Version 2.9	2024-07-26	2024-07-26	2024-11-07
Version 3.0	2024-11-01	2024-11-08	2024-12-03
Version 3.1	2024-12-04	2024-12-04	2024-12-17
Version 3.2	2024-12-17	2024-12-18	2025-06-29
Version 3.3	2025-06-25	2025-06-30	2025-07-21
Version 3.4	2025-07-18	2025-07-22	-

No non-conformities have been identified during the audit.

This Audit Attestation also covers the following incidents as described in the following.

- Bug 1976837, D-Trust: Defective certificate incident reporting form  
[https://bugzilla.mozilla.org/show\\_bug.cgi?id=1976837](https://bugzilla.mozilla.org/show_bug.cgi?id=1976837)

The remediation measures taken by D-Trust GmbH as described on Bugzilla (see link above) have been checked by the auditors and properly addressed the incident.

Distinguished Name	SHA-256 fingerprint	Applied policy
C=DE, O=D-Trust GmbH, CN=D-TRUST Root CA 3 2013	A1A86D04121EB87F027C66F53303C28E5739F943FC84B38AD6AF009035DD9457	ETSI EN 319 411-1 V1.4.1, NCP amended by ETSI TS 119 411-6 V1.1.1 (2023-08) ETSI EN 319 411-1 V1.4.1, LCP amended by ETSI TS 119 411-6 V1.1.1 (2023-08)

**Table 1: Root-CA 5 in scope of the audit**

The TSP named the Sub-CAs that have been issued by the aforementioned Root-CA, that are listed in the following table and that have been covered in this audit.

Distinguished Name	SHA-256 fingerprint	Applied policy
C=DE, O=D-Trust GmbH, CN=D-Trust SBR Root CA 2 2022	7B2D0CE123F48B27CD6333B1FB113FF9134BF97793902CFFBF3BE326A5D0101D	ETSI EN 319 411-1 V1.4.1, NCP amended by ETSI TS 119 411-6 V1.1.1 (2023-08) ETSI EN 319 411-1 V1.4.1, LCP amended by ETSI TS 119 411-6 V1.1.1 (2023-08)
C=DE, O=D-Trust GmbH, CN=D-TRUST Application Certificates CA 3-1 2013	CB0F7B7670EA2B818ABE80587902434B30EF7A8C0273B84884243F89593EA630	ETSI EN 319 411-1 V1.4.1, NCP amended by ETSI TS 119 411-6 V1.1.1 (2023-08) ETSI EN 319 411-1 V1.4.1, LCP amended by ETSI TS 119 411-6 V1.1.1 (2023-08)
C=DE, O=D-Trust GmbH, CN=D-TRUST Application Certificates CA 3-1 2013	9441FD6CD383418465ED911E102A40D2AE82F7443940AAA1C7B49918FFE6028E	ETSI EN 319 411-1 V1.4.1, NCP amended by ETSI TS 119 411-6 V1.1.1 (2023-08) ETSI EN 319 411-1 V1.4.1, LCP amended by ETSI TS 119 411-6 V1.1.1 (2023-08)



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C=DE, O=D-Trust GmbH, CN=D-TRUST Application Certificates CA 3-1 2013	3F25BD4C92D59A994931ABA53D81507C9EE6B90640BE275C4FF431D1DAB72396	ETSI EN 319 411-1 V1.4.1, NCP amended by ETSI TS 119 411-6 V1.1.1 (2023-08) ETSI EN 319 411-1 V1.4.1, LCP amended by ETSI TS 119 411-6 V1.1.1 (2023-08)
C=DE, O=D-Trust GmbH, CN=D-TRUST Application Certificates CA 3-2 2016, 2.5.4.97=NTRDE-HRB74346	7890EED59E95743C62826398129BC2F54AD414794AAC075BA67177332802B029	ETSI EN 319 411-1 V1.4.1, LCP amended by ETSI TS 119 411-6 V1.1.1 (2023-08) (CA is not used for certificate issuance anymore)
C=DE, O=D-Trust GmbH, CN=E.ON Group CA 2 2013	43247EF5A09A0867BA4A7E1716463577AAD6EFA057BFF763B43FD2A979608FE2	ETSI EN 319 411-1 V1.4.1, LCP amended by ETSI TS 119 411-6 V1.1.1 (2023-08)
C=DE, O=E.ON SE, OU=CA, CN=E.ON CA 2 2013 XXI	8B1698B51BF6EF2C31C553E6FF7A7734901806BCC87704182D2293183348B334	ETSI EN 319 411-1 V1.4.1, LCP amended by ETSI TS 119 411-6 V1.1.1 (2023-08)
C=DE, O=E.ON SE, OU=CA, CN=E.ON CA 2 2013 XXI	43B3986A9908B9B0D76C78AA877DDC1B8C8E6AC2F441B9767E68DF5ECF096438	ETSI EN 319 411-1 V1.4.1, LCP amended by ETSI TS 119 411-6 V1.1.1 (2023-08)
C=DE, O=E.ON SE, OU=CA, CN=E.ON CA 2 2013 XXI	41D1CF86CDE9DB5EDDC90A9DE0A4F6014E997DDA271D5B3766E1E3214DCCD9C7	ETSI EN 319 411-1 V1.4.1, LCP amended by ETSI TS 119 411-6 V1.1.1 (2023-08)
C=DE, O=E.ON SE, OU=CA, CN=E.ON CA 2 2013 XXII	B2B7C755C80FBE20E2134A620157A53B5B0724B6947B4EED1CA9DF7951FC5D44	ETSI EN 319 411-1 V1.4.1, LCP amended by ETSI TS 119 411-6 V1.1.1 (2023-08) (CA is not used for certificate issuance anymore)
C=DE, O=E.ON SE, OU=CA, CN=E.ON CA 2 2013 XXIII	99CADFF0B43B45405D471AB7F04817B04925D603007A57CA1BABA48BC8721BF6	ETSI EN 319 411-1 V1.4.1, LCP amended by ETSI TS 119 411-6 V1.1.1 (2023-08)
C=DE, O=E.ON SE, OU=CA, CN=E.ON CA 2 2013 XXIII	6F4EDF5918B4C2A7B3121333F757FFCB0C83EF8C821A10EF47228EFCED168D9	ETSI EN 319 411-1 V1.4.1, LCP amended by ETSI TS 119 411-6 V1.1.1 (2023-08)
C=DE, O=E.ON SE, OU=CA, CN=E.ON CA 2 2013 XXIII	0AB43E7D481D24B216412DEE945D40D73FA2596404172A8C3039BF5E97FD1EF8	ETSI EN 319 411-1 V1.4.1, LCP amended by ETSI TS 119 411-6 V1.1.1 (2023-08)



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C=DE, O=D-Trust GmbH, OU=CA,  
CN=Partner CA 2 2013 XXIV

A099851198F66AA47D11D1FF42A6876E7F328C22184BC0B66559AF5A51459511

ETSI EN 319 411-1 V1.4.1, LCP  
amended by ETSI TS 119 411-6  
V1.1.1 (2023-08)  
(CA is not used for certificate issuance  
anymore)

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**Table 2: Sub-CA's issued by the Root-CA 5 or its Sub-CA's in scope of the audit**

## Root 6: D-TRUST Root Class 3 CA 2 2009

### Standards considered

#### European Standards:

- ETSI EN 319 411-1 V1.4.1 (2023-10)
- ETSI EN 319 401 V3.1.1 (2024-06)
- ETSI TS 119 411-6 V1.1.1 (2023-08)

#### CA Browser Forum Requirements:

- Baseline Requirements for the Issuance and Management of Publicly-Trusted Certificates, version 2.1.7
- Network and Certificate System Security Requirements, version 1.7

#### For the Trust Service Provider Conformity Assessment:

- ETSI EN 319 403-1 V2.3.1 (2020-06)
- ETSI TS 119 403-2 V1.3.1 (2023-03)

The audit was based on the following policy and practice statement documents of the CA / TSP:

- Certificate Policy of D-Trust GmbH

Version	Release Date	Effective from	Effective until
Version 5.4	2024-07-26	2024-07-26	2025-06-29
Version 5.5	2025-06-25	2025-06-30	2025-10-07

- D-TRUST Trust Service Practice Statement (TSPS)

Version	Release Date	Effective from	Effective until
Version 2.1	2024-07-26	2024-07-26	2024-10-14
Version 2.2	2024-10-11	2024-10-15	2024-12-03
Version 2.3	2024-12-04	2024-12-04	2024-12-17
Version 2.4	2024-12-17	2024-12-18	2025-06-29
Version 2.5	2025-06-25	2025-06-30	2025-10-07

- Certification Practice Statement of the D-TRUST CSM PKI

Version	Release Date	Effective from	Effective until
Version 4.3	2024-07-26	2024-07-26	2024-10-14
Version 4.4	2024-10-11	2024-10-15	2024-12-17
Version 4.5	2024-12-17	2024-12-18	2025-06-29
Version 4.6	2025-06-25	2025-06-30	2025-07-21
Version 4.7	2025-07-18	2025-07-22	2025-10-07

No non-conformities have been identified during the audit.

This Audit Attestation also covers the following incidents as described in the following.

- Bug 1976837, D-Trust: Defective certificate incident reporting form  
[https://bugzilla.mozilla.org/show\\_bug.cgi?id=1976837](https://bugzilla.mozilla.org/show_bug.cgi?id=1976837)
- Bug 1924385, D-Trust: Missed Revocation of TLS certificates affected by Bugzilla  
1884714 [https://bugzilla.mozilla.org/show\\_bug.cgi?id=1924385](https://bugzilla.mozilla.org/show_bug.cgi?id=1924385)

The remediation measures taken by D-Trust GmbH as described on Bugzilla (see link above) have been checked by the auditors and properly addressed the incident.

Distinguished Name	SHA-256 fingerprint	Applied policy
C=DE, O=D-Trust GmbH, CN=D-TRUST Root Class 3 CA 2 2009	49E7A442ACF0EA6287050054B52564B650E4F49E42E348D6AA38E039E957B1C1	ETSI EN 319 411-1 V1.4.1, EVCP ETSI EN 319 411-1 V1.4.1, OVCP ETSI EN 319 411-1 V1.4.1, DVCP ETSI EN 319 411-1 V1.4.1, LCP amended by ETSI TS 119 411-6 V1.1.1 (2023-08)

**Table 3: Root-CA 6 in scope of the audit**

The TSP named the Sub-CAs that have been issued by the aforementioned Root-CA, that are listed in the following table and that have been covered in this audit.

Distinguished Name	SHA-256 fingerprint	Applied policy
C=DE, O=D-Trust GmbH, CN=D-TRUST SSL Class 3 CA 1 2009	6AC159B4C2BC8E729F3B84642EF1286BCC80D775FE278C740ADA468D59439025	ETSI EN 319 411-1 V1.4.1, OVCP ETSI EN 319 411-1 V1.4.1, LCP amended by ETSI TS 119 411-6 V1.1.1 (2023-08)

**Table 4: Sub-CA's issued by the Root-CA 6 or its Sub-CA's in scope of the audit**

## Root 7: D-TRUST Root Class 3 CA 2 EV 2009

### Standards considered

#### European Standards:

- ETSI EN 319 411-2 V2.5.1 (2023-10)
- ETSI EN 319 411-1 V1.4.1 (2023-10)
- ETSI EN 319 401 V3.1.1 (2024-06)
- ETSI TS 119 411-6 V1.1.1 (2023-08)

#### CA Browser Forum Requirements:

- Guidelines for the Issuance and Management of Extended Validation Certificates, version 2.0.1
- Baseline Requirements for the Issuance and Management of Publicly-Trusted Certificates, version 2.1.7
- Network and Certificate System Security Requirements, version 1.7

#### For the Trust Service Provider Conformity Assessment:

- ETSI EN 319 403-1 V2.3.1 (2020-06)
- ETSI TS 119 403-2 V1.3.1 (2023-03)

The audit was based on the following policy and practice statement documents of the CA / TSP:

- Certificate Policy of D-Trust GmbH

Version	Release Date	Effective from	Effective until
Version 5.4	2024-07-26	2024-07-26	2025-06-29
Version 5.5	2025-06-25	2025-06-30	2025-10-07

- D-TRUST Trust Service Practice Statement (TSPS)

Version	Release Date	Effective from	Effective until
Version 2.1	2024-07-26	2024-07-26	2024-10-14
Version 2.2	2024-10-11	2024-10-15	2024-12-03
Version 2.3	2024-12-04	2024-12-04	2024-12-17
Version 2.4	2024-12-17	2024-12-18	2025-06-29
Version 2.5	2025-06-25	2025-06-30	2025-10-07

- Certification Practice Statement of the D-TRUST CSM PKI

Version	Release Date	Effective from	Effective until
Version 4.3	2024-07-26	2024-07-26	2024-10-14
Version 4.4	2024-10-11	2024-10-15	2024-12-17
Version 4.5	2024-12-17	2024-12-18	2025-06-29

Version 4.6	2025-06-25	2025-06-30	2025-07-21
Version 4.7	2025-07-18	2025-07-22	2025-10-07

No non-conformities have been identified during the audit.

This Audit Attestation also covers the following incidents as described in the following.

- Bug 1896190, D-Trust: Issuance of an EV certificate containing a mixup of the Subject's postalCode and localityName  
[https://bugzilla.mozilla.org/show\\_bug.cgi?id=1896190](https://bugzilla.mozilla.org/show_bug.cgi?id=1896190)
- Bug 1976837, D-Trust: Defective certificate incident reporting form  
[https://bugzilla.mozilla.org/show\\_bug.cgi?id=1976837](https://bugzilla.mozilla.org/show_bug.cgi?id=1976837)
- Bug 1939809, D-Trust: QCStatement with http link of PKI Disclosure Statements  
[https://bugzilla.mozilla.org/show\\_bug.cgi?id=1939809](https://bugzilla.mozilla.org/show_bug.cgi?id=1939809)

The remediation measures taken by D-Trust GmbH as described on Bugzilla (see link above) have been checked by the auditors and properly addressed the incident.

Distinguished Name	SHA-256 fingerprint	Applied policy
C=DE, O=D-Trust GmbH, CN=D-TRUST Root Class 3 CA 2 EV 2009	EEC5496B988CE98625B934092EEC2908BED0B0F316C2D4730C84EAF1F3D34881	ETSI EN 319 411-2 V2.5.1, QEVCP-w ETSI EN 319 411-1 V1.4.1, EVCP ETSI EN 319 411-1 V1.4.1, NCP amended by ETSI TS 119 411-6 V1.1.1 (2023-08)

**Table 5: Root-CA 7 in scope of the audit**

The TSP named the Sub-CAs that have been issued by the aforementioned Root-CA, that are listed in the following table and that have been covered in this audit.

Distinguished Name	SHA-256 fingerprint	Applied policy
C=DE, O=D-Trust GmbH, CN=D-TRUST CA 2-2 EV 2016, 2.5.4.97=NTRDE-HRB74346	2316D05A2E2D347FA141135B98ED09F56E81F1CF5679793D3B39DD6D8E461A48	ETSI EN 319 411-2 V2.5.1, QEVCP-w ETSI EN 319 411-1 V1.4.1, EVCP ETSI EN 319 411-1 V1.4.1, NCP amended by ETSI TS 119 411-6 V1.1.1 (2023-08)
C=DE, O=D-Trust GmbH, CN=D-TRUST SSL Class 3 CA 1 EV 2009	B0935DC04B4E60C0C42DEF7EC57A1B1D8F958D17988E71CC80A8CF5E635BA5B4	ETSI EN 319 411-1 V1.4.1, EVCP ETSI EN 319 411-1 V1.4.1, NCP amended by ETSI TS 119 411-6 V1.1.1 (2023-08)

**Table 6: Sub-CA's issued by the Root-CA 7 or its Sub-CA's in scope of the audit**

## Root 8: D-Trust SBR Root CA 1 2022

### Standards considered

#### European Standards:

- ETSI EN 319 411-1 V1.4.1 (2023-10)
- ETSI EN 319 401 V3.1.1 (2024-06)
- ETSI TS 119 411-6 V1.1.1 (2023-08)

#### CA Browser Forum Requirements:

- Baseline Requirements for the Issuance and Management of Publicly-Trusted S/MIME Certificates, version 1.0.10
- Network and Certificate System Security Requirements, version 1.7

#### For the Trust Service Provider Conformity Assessment:

- ETSI EN 319 403-1 V2.3.1 (2020-06)
- ETSI TS 119 403-2 V1.3.1 (2023-03)

The audit was based on the following policy and practice statement documents of the CA / TSP:

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Version 4.7	2025-07-18	2025-07-22	2025-10-07



Audit Attestation D-Trust GmbH, AA2025121001-SMIME-BR

No non-conformities have been identified during the audit.

This Audit Attestation also covers the following incidents as described in the following.

- Bug 1976837, D-Trust: Defective certificate incident reporting form  
[https://bugzilla.mozilla.org/show\\_bug.cgi?id=1976837](https://bugzilla.mozilla.org/show_bug.cgi?id=1976837)

The remediation measures taken by D-Trust GmbH as described on Bugzilla (see link above) have been checked by the auditors and properly addressed the incident.

Distinguished Name	SHA-256 fingerprint	Applied policy
C=DE, O=D-Trust GmbH, CN=D-Trust SBR Root CA 1 2022	D92C171F5CF890BA428019292927FE22F3207FD2B54449CB6F675AF4922146E2	ETSI EN 319 411-1 V1.4.1, NCP amended by ETSI TS 119 411-6 V1.1.1 (2023-08) ETSI EN 319 411-1 V1.4.1, LCP amended by ETSI TS 119 411-6 V1.1.1 (2023-08)

**Table 7: Root-CA 8 in scope of the audit**

The TSP named the Sub-CAs that have been issued by the aforementioned Root-CA, that are listed in the following table and that have been covered in this audit.

Distinguished Name	SHA-256 fingerprint	Applied policy
C=DE, O=D-Trust GmbH, CN=D-Trust SBR CA 1-22-1 2022, 2.5.4.97=VATDE-202620438	31FFA8D3F2439C62F2363FE56F4E245382A6D69D8A828B3539FA3875F8C5235B	ETSI EN 319 411-1 V1.4.1, NCP amended by ETSI TS 119 411-6 V1.1.1 (2023-08)
C=DE, O=D-Trust GmbH, CN=D-Trust SBR CA 1-22-2 2022, 2.5.4.97=VATDE-202620438	200E2C50111A71B07555E921D3BFB7EBDE47F7E41873E06753474362BC017BA2	ETSI EN 319 411-1 V1.4.1, LCP amended by ETSI TS 119 411-6 V1.1.1 (2023-08)
C=DE, O=D-Trust GmbH, CN=D-Trust SBR CA 1-22-3 2023, 2.5.4.97=VATDE-202620438	D087C970CED1BBCE2E9A73AA7A601CC6A8C877BF1FF3B6089AEDEAE585F2FABA	ETSI EN 319 411-1 V1.4.1, LCP amended by ETSI TS 119 411-6 V1.1.1 (2023-08)
C=DE, O=D-Trust GmbH, CN=D-Trust SBR CA 1-22-4 2024, 2.5.4.97=VATDE-202620438	DD69E93713BAE0F4B529A485BAE1FD25B61DDF6DBC25CDE25D0F365CD14CE4DE	ETSI EN 319 411-1 V1.4.1, LCP amended by ETSI TS 119 411-6 V1.1.1 (2023-08)
C=DE, O=D-Trust GmbH, CN=D-Trust SBR CA 1-22-5 2024, 2.5.4.97=VATDE-202620438	087C5E12093A48D3064C3352CF7B1017C57492E1BE02A3B032AC42ED079F9845	ETSI EN 319 411-1 V1.4.1, LCP amended by ETSI TS 119 411-6 V1.1.1 (2023-08)

**Table 8: Sub-CA's issued by the Root-CA 8 or its Sub-CA's in scope of the audit**

## Root 9: D-Trust SBR Root CA 2 2022

### Standards considered

#### European Standards:

- ETSI EN 319 411-1 V1.4.1 (2023-10)
- ETSI EN 319 401 V3.1.1 (2024-06)
- ETSI TS 119 411-6 V1.1.1 (2023-08)

#### CA Browser Forum Requirements:

- Baseline Requirements for the Issuance and Management of Publicly-Trusted S/MIME Certificates, version 1.0.10
- Network and Certificate System Security Requirements, version 1.7

#### For the Trust Service Provider Conformity Assessment:

- ETSI EN 319 403-1 V2.3.1 (2020-06)
- ETSI TS 119 403-2 V1.3.1 (2023-03)

The audit was based on the following policy and practice statement documents of the CA / TSP:

- Certificate Policy of D-Trust GmbH

Version	Release Date	Effective from	Effective until
Version 5.4	2024-07-26	2024-07-26	2025-06-29
Version 5.5	2025-06-25	2025-06-30	2025-10-07

- D-TRUST Trust Service Practice Statement (TSPS)

Version	Release Date	Effective from	Effective until
Version 2.1	2024-07-26	2024-07-26	2024-10-14
Version 2.2	2024-10-11	2024-10-15	2024-12-03
Version 2.3	2024-12-04	2024-12-04	2024-12-17
Version 2.4	2024-12-17	2024-12-18	2025-06-29
Version 2.5	2025-06-25	2025-06-30	2025-10-07

- Certification Practice Statement of the D-TRUST CSM PKI

Version	Release Date	Effective from	Effective until
Version 4.3	2024-07-26	2024-07-26	2024-10-14
Version 4.4	2024-10-11	2024-10-15	2024-12-17
Version 4.5	2024-12-17	2024-12-18	2025-06-29
Version 4.6	2025-06-25	2025-06-30	2025-07-21
Version 4.7	2025-07-18	2025-07-22	2025-10-07

- Certification Practice Statement of the E.ON SE PKI

Version	Release Date	Effective from	Effective until
Version 2.9	2024-07-26	2024-07-26	2024-11-07
Version 3.0	2024-11-01	2024-11-08	2024-12-03
Version 3.1	2024-12-04	2024-12-04	2024-12-17
Version 3.2	2024-12-17	2024-12-18	2025-06-29
Version 3.3	2025-06-25	2025-06-30	2025-07-21
Version 3.4	2025-07-18	2025-07-22	-

No non-conformities have been identified during the audit.

This Audit Attestation also covers the following incidents as described in the following.

- Bug 1976837, D-Trust: Defective certificate incident reporting form  
[https://bugzilla.mozilla.org/show\\_bug.cgi?id=1976837](https://bugzilla.mozilla.org/show_bug.cgi?id=1976837)

The remediation measures taken by D-Trust GmbH as described on Bugzilla (see link above) have been checked by the auditors and properly addressed the incident.

Distinguished Name	SHA-256 fingerprint	Applied policy
C=DE, O=D-Trust GmbH, CN=D-Trust SBR Root CA 2 2022	DBA84DD7EF622D485463A90137EA4D574DF8550928F6AFA03B4D8B1141E636CC	ETSI EN 319 411-1 V1.4.1, NCP amended by ETSI TS 119 411-6 V1.1.1 (2023-08) ETSI EN 319 411-1 V1.4.1, LCP amended by ETSI TS 119 411-6 V1.1.1 (2023-08)

**Table 9: Root-CA 9 in scope of the audit**

The TSP named the Sub-CAs that have been issued by the aforementioned Root-CA, that are listed in the following table and that have been covered in this audit.

Distinguished Name	SHA-256 fingerprint	Applied policy
C=DE, O=D-Trust GmbH, CN=D-Trust SBR CA 2-22-1 2022, 2.5.4.97=VATDE-202620438	CED8E0893E52A1C96AE65D9955A908C45003D7CEADE56B3E4717FD8F00EE0743	ETSI EN 319 411-1 V1.4.1, NCP amended by ETSI TS 119 411-6 V1.1.1 (2023-08)
C=DE, O=D-Trust GmbH, CN=D-Trust SBR CA 2-22-2 2022, 2.5.4.97=VATDE-202620438	6E87C6E63C8BEE394908B97D1079F8FF88C3930E0EBEC5708C159E2B83247FF0	ETSI EN 319 411-1 V1.4.1, LCP amended by ETSI TS 119 411-6 V1.1.1 (2023-08)
C=DE, O=D-Trust GmbH, CN=D-Trust SBR CA 2-22-3 2023, 2.5.4.97=VATDE-202620438	ED65312EB2E5F93293CCF370803CD3A66D1670C8D3A4825F5EA428F03F8ACCCC	ETSI EN 319 411-1 V1.4.1, LCP amended by ETSI TS 119 411-6 V1.1.1 (2023-08)
C=DE, O=D-Trust GmbH, CN=D-Trust SBR CA 2-22-4 2024, 2.5.4.97=VATDE-202620438	5A128127FFEF4C2F7443F6695B5C96B572DCD8092131449F1497728F2EE4C59B	ETSI EN 319 411-1 V1.4.1, LCP amended by ETSI TS 119 411-6 V1.1.1 (2023-08)
C=DE, O=D-Trust GmbH, CN=D-Trust SBR CA 2-22-5 2024, 2.5.4.97=VATDE-202620438	1B410BA647ADD270CEBF6F6C6CC4BD69533E868D710325383965EC32C5EF8938	ETSI EN 319 411-1 V1.4.1, LCP amended by ETSI TS 119 411-6 V1.1.1 (2023-08)
C=DE, O=D-Trust GmbH, CN=E.ON CA 3 2024 XXI, 2.5.4.97=VATDE-202620438	7C14C09B23D7527E60C65B027D7EA994C6C1B70B60A026078BFD1C5B262DD162	ETSI EN 319 411-1 V1.4.1, NCP amended by ETSI TS 119 411-6 V1.1.1 (2023-08) (Only with regard to key protection requirements, not yet activated for issuing)



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C=DE, O=D-Trust GmbH, CN=E.ON CA 3 2024 XXIII, 2.5.4.97=VATDE- 202620438	116FAFBFFD3579DE5C4205B2847FD3A47D3DF6F794F1296CDB8D1CFE55AEC8B0	ETSI EN 319 411-1 V1.4.1, LCP amended by ETSI TS 119 411-6 V1.1.1 (2023-08) (Only with regard to key protection requirements, not yet activated for issuing)
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**Table 10: Sub-CA's issued by the Root-CA 9 or its Sub-CA's in scope of the audit**



## Modifications record

Version	Issuing Date	Changes
Version 1	2025-12-10	Initial attestation
Version 1.1	2026-01-15	Typo correction: wrong date for CSM PKI CPS, Bug 1896190 was mentioned at wrong Root CA
Version 1.2	2026-02-19	The different document versions that have been applicable during the audit period have been added.

**End of the audit attestation letter.**